



OFFICE OF THE VICE CHANCELLOR FOR RESEARCH  
119 CALIFORNIA HALL #1500  
BERKELEY, CALIFORNIA 94720

January 30, 2019

Members of the Chancellor's Cabinet

Subject: Campus Moratorium on New Research Funding from Huawei and Corporate Affiliates

Dear Colleagues:

For some time, the federal government has raised concerns about Huawei Technologies Company, Ltd. ("Huawei") and its corporate subsidiaries and affiliates. Last year, the National Defense Authorization Act established specific restrictions on the use of hardware from a number of Chinese entities, including Huawei based on national security concerns. The Berkeley campus is working with other campuses and the Office of the President to ensure full compliance with those restrictions.

On 28 January 2019, the United States Department of Justice ("USDOJ") issued two indictments against Huawei, certain of its affiliates, and its Chief Financial Officer. In New York, the USDOJ released a 13-count indictment charging the defendants with bank fraud, wire fraud, violations of economic sanctions, and conspiracy to commit money laundering. In Washington, Huawei was charged with theft of trade secrets, conspiracy to commit theft of trade secrets, wire fraud, and obstruction of justice. These are serious allegations and warrant a serious response.

After careful internal review and consultation with peer institutions, I have decided that as a campus, UC Berkeley will not enter into or discuss new research collaborations with Huawei effective today, nor will we seek or accept new research gifts from the company or its subsidiaries and affiliates pending adjudication of the charges. UC Berkeley holds its research partners to the highest possible standards of corporate conduct, and the severity of these accusations raises questions and concerns that only our judicial system can address.

We will, for the time being, continue to honor existing multi-year collaborative agreements and contracts with Huawei that provide support for research projects already underway. None of these projects involve sensitive technological secrets or knowledge. Since the 1930's our University engages only in fundamental research whose results are openly shared and made accessible to all. In addition, none of the existing agreements provide the firm with unique or exclusive rights to intellectual property resulting from the research. To date, Huawei has been a responsible collaborator with the campus. Pending new information or developments, the University will continue to honor its contractual commitments.

As in the past, we will continue to carefully monitor the conduct of Berkeley's research partners and sponsors, and will not hesitate to respond, as needed, to future developments. If campus colleagues are unsure how this decision affects any of their projects, please contact my office at [vcr@berkeley.edu](mailto:vcr@berkeley.edu).

Sincerely,

Randy Howard Katz  
Vice Chancellor for Research

Appendix: Currently identified subsidiaries/affiliates of Huawei Technologies Company (as of 30 January 2019)

cc: David Culler, Interim Dean, Division of Data Science  
John Flanagan, Dean, School of Optometry  
Ann Harrison, Dean, School of Business  
Tsu-Jae King Liu, Dean, College of Engineering  
AnnaLee Saxenian, Dean, School of Information  
Patrick Schlesinger, Assistant Vice Chancellor, Research Administration and Compliance  
Alaisha Hellman, Export Control Officer  
Delphine Regalia, Controller  
Eric Giegerich, Director, Industry Alliances Office  
David Jeu, Assistant Vice Chancellor, International Relations  
Sylvia Bierhuis, Executive Director, Foundation Relations  
Dan Mogulof, Assistant Vice Chancellor, Public Affairs

**Appendix**  
**Currently identified subsidiaries/affiliates of Huawei Technologies Company**  
**(as of 30 January 2019)**

Huawei Technologies Company, Ltd.

(Sources: business databases, Huawei Investment & Holding Co., Ltd., 2017 Annual Report)

1. Amartus, SDN Software Technology and Team
2. Beijing Huawei Digital Technologies, Co.
3. Caliopa NV
4. Centre for Integrated Photonics Ltd.
5. Chinasoft International Technology Services Ltd.
6. FutureWei Technologies, Inc.
7. HexaTier Ltd.
8. HiSilicon Optoelectronics Co., Ltd.
9. Huawei Device Co., Ltd.
10. Huawei Device (Dongguan) Co., Ltd.
11. Huawei Device (Hong Kong) Co., Ltd.
12. Huawei Device USA, Inc.
13. Huawei Enterprise USA, Inc.
14. Huawei Global Finance (UK) Ltd.
15. Huawei International Co., Ltd.
16. Huawei Machine Co., Ltd.
17. Huawei Marine
18. Huawei North America
19. Huawei Software Technologies, Co., Ltd.
20. Huawei Symantec Technologies Co., Ltd.
21. Huawei Tech Investment Co., Ltd.
22. Huawei Technical Service Co., Ltd.
23. Huawei Technologies Cooperatief U.A.
24. Huawei Technologies Germany GmbH
25. Huawei Technologies Japan K.K.
26. Huawei Technologies South Africa Pty Ltd.
27. Huawei Technologies (Thailand) Co.
28. iSoftStone Technology Service Co., Ltd.
29. JV “Broadband Solutions” LLC
30. M4S N.V.
31. Proven Honor Capital Limited
32. PT Huawei Tech Investment
33. Shanghai Huawei Technologies Co., Ltd.
34. Skycom Tech Co., Ltd.
35. TD Tech
36. Tianwen Digital Media Technology (Beijing) Co., Ltd.
37. Toga Networks Ltd.